

# NOTES OF THE TALK OF L. TAE LMAN: LOCAL SYSTEMS AND HIGGS BUNDLES

(NOTES TYPED BY A. SAUVAGET)

## 1. INTRODUCTION: FROM LOCAL SYSTEMS TO VECTOR BUNDLES

$X$  Riemann surface of  $g(X) \geq 2$ .

**Definition 1.1.** A *local system* on  $X$  is a locally constant sheaf of  $\mathbb{C}$ -vct spaces, with finite dimensional stalks

Equivalence:  $\{\text{local systems}\} \leftrightarrow \{\text{representation of } \pi_1(X, x)\}$ :

$$\mathbb{E} \mapsto \mathbb{E}_x.$$

The key player:  $\{\text{local systems}\} \rightarrow \{\text{vector bundles on } X\}$ ,

$$\mathbb{E} \mapsto \mathcal{E} = \mathbb{E}_{\mathbb{C}} \otimes \mathcal{O}_X.$$

It is an exact functor.

**Example 1.2.** local systems of rank 1:  $H^1(X, \mathbb{C}^*) = \text{Hom}(\pi_1, \mathbb{C}^*) \simeq (\mathbb{C}^*)^{2g}$   
vector bundles of rk 1 =  $H^1(X, \mathcal{O}_X^*) = \text{Pic}(X)$  (these two are algebraic varieties).

Then we have two exact sequences with a natural morphism between them:

$$\begin{array}{ccccccc} 0 & \longrightarrow & \mathbb{Z}_X & \longrightarrow & \mathbb{C}_X & \xrightarrow{\text{exp}} & \mathbb{C}_X^* \longrightarrow 1 \\ & & \downarrow \simeq & & \downarrow & & \downarrow \\ 0 & \longrightarrow & \mathbb{Z}_X & \longrightarrow & \mathcal{O}_X & \xrightarrow{\text{exp}} & \mathcal{O}_X^* \longrightarrow 1 \end{array}$$

And we have the exact sequence:

$$0 \rightarrow H^0(X, \Omega_X^1) \rightarrow H^1(X, \mathbb{C}_X) \rightarrow H^1(X, \mathcal{O}_X) \rightarrow 0$$

from this, we get:

$$0 \rightarrow H^0(X, \Omega^1) \rightarrow H^1(X, \mathbb{C}^*) \rightarrow H^1(X, \mathcal{O}_X^*) \rightarrow \mathbb{Z} \rightarrow 0$$

(the second is  $(\mathbb{C}^*)^{2g}$  and the third  $\text{Pic}(X)$ )

## 2. UNITARY LOCAL SYSTEMS

**Definition 2.1.** A local system  $\mathbb{E}$  is *unitary* if there exists an hermitian positive definite form  $h : \mathbb{E} \times \mathbb{E} \rightarrow \mathbb{R}_X$ . Equivalently  $\mathbb{E}$  comes from  $\rho : \pi_1(X, x) \rightarrow U(n)$ .

**Proposition 2.2.**  $\{\text{unitary local systems}\} \rightarrow \{\text{vector bundles on } X\}$  is fully faithful.

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*Proof.* claim:  $\mathbb{E}$  unitary  $H^0(X, \mathbb{E}) \simeq H^0(X, \mathcal{E})$  is an isomorphism (this claim implies the proposition as take  $\mathbb{E} = \underline{\text{Hom}}(\mathbb{E}_0, \mathbb{E}_1) = \mathbb{E}_0^\vee \otimes_1 z \mapsto H(\cdot)$ )

Indeed, first remark that

$$f \in H^0(X, \mathcal{E}) = \{f : \tilde{X} \rightarrow \mathbb{E}_x, \text{ s.t. } f \text{ is holomorphic and } \pi_1\text{-equiv}\}$$

(where  $\tilde{X}$  is the universal cover). We consider the map:

$$f \mapsto \|f\|(\tilde{X} \rightarrow \mathbb{E}_x \rightarrow \mathbb{R})$$

this function is sub-harmonic and thus constant on  $\tilde{X}$ . Thus  $f$  comes from a section of the local system.  $\square$

Then what is the essential image of this functor?

### 3. MODULI SPACE OF (STABLE) VECTOR BUNDLES

We fix numerical invariants:  $n = \text{rank}(\mathcal{E}) = c_0$  and  $d = \text{deg}(\mathcal{E}) = c_1$ .

Assume that  $\mathcal{M}(n, d)$  is the moduli space of rank  $n$  and degree  $d$  vector bundles on  $X$ :

- (1) not fine, because  $\text{Aut}(\mathcal{E})$  non-trivial:  $\mathcal{E} \rightarrow X \times T$  and we consider:  $\mathcal{E} \otimes \pi_T^* \mathcal{L} \rightarrow X \times T$  have isomorphic fibers over  $X \times \{t\}$ .
- (2) not separated.
- (3) not bounded.

**Definition 3.1.** The slope of  $\mathcal{E} = \text{deg}(\mathcal{E})/\text{rk}(\mathcal{E}) = \mu(\mathcal{E})$ .

$\mathcal{E}$  is semi-stable if for any strict non-trivial sub-sheaf  $\mathcal{F}$  we have  $\mu(\mathcal{F}) \leq \mu(\mathcal{E})$

$\mathcal{E}$  is stable if for any strict non-trivial sub-sheaf  $\mathcal{F}$  we have  $\mu(\mathcal{F}) < \mu(\mathcal{E})$

- (1) line bundles are stable
- (2)  $\mathcal{E}, \mathcal{F}$  semi-stable,  $\mu(\mathcal{E}) > \mu(\mathcal{F})$ , then  $\text{Hom}(\mathcal{E}, \mathcal{F}) = 0$ .
- (3)  $\mathcal{E}, \mathcal{F}$  stable and  $\mu(\mathcal{E}) = \mu(\mathcal{F})$  then either  $\mathcal{E} \simeq \mathcal{F}$  or  $\text{Hom}(\mathcal{E}, \mathcal{F}) = 0$ .
- (4)  $\mathcal{E}$  stable, then  $\text{End}(\mathcal{E}) = \mathbb{C}$ ,  $\mathcal{E}$  is simple.

So we need more constraints on this moduli space to have good modular properties.

**Theorem 3.2.** (HN filtration)  $\forall \mathcal{E}$ , there exists a unique filtration

$$0 = \mathcal{E}_0 \subset \dots \subset \mathcal{E}_k = \mathcal{E}$$

s.t.  $\mathcal{E}_i/\mathcal{E}_{i-1}$  is semi-stable,  $\mu(\mathcal{E}_{i+1}/\mathcal{E}_i)$ ,

(JN filtration)  $\forall$  semistable  $\mathcal{E}$  there exists

$$0 = \mathcal{E}_0 \subset \dots \subset \mathcal{E}_k = \mathcal{E}$$

s.t.  $\mathcal{E}_{i+1}/\mathcal{E}_i$  are stable of slope  $\mu(\mathcal{E})$ .

**Definition 3.3.**  $\mathcal{E}$  is polystable if  $\mathcal{E} = \bigoplus \mathcal{E}_i$ , all of the same slope.

**Theorem 3.4.** (Mumford, Seshadri)  $\exists$  and irreducible, projective, variety  $\mathcal{M}^{ss}(n, d)$ , containing an open  $\mathcal{M}^s(n, d)$  smooth s.t.

- (1)  $\mathcal{M}^s(n, d)$  is the coarse moduli space for stable vector bundles of rank  $n$  and deg  $d$ .
- (2) if  $\text{gcd}(n, d) = 1$ , then  $\mathcal{M}^s = \mathcal{M}^{ss}$ , they represent a functor:

$$T \mapsto \{\mathcal{E} \rightarrow X \times T \mid \text{the fibers over any } t \in T \text{ is a semi-stable v.b. on } X\} / \text{Pic}^0(T)$$

- (3)  $\mathcal{M}^{ss}(n, d) \leftrightarrow$  polystable vect bundles of rank  $n$  and deg  $d$ .

4. NARASIMHAN-SESHADRI THEOREM

**Theorem 4.1.** (NS)  $\mathbb{E} \mapsto \mathcal{E} = \mathbb{E} \otimes \mathcal{O}_X$  defines an equivalence of category: unitary local systems / polystable vector bundles of slope 0.

*Sketch of the proof.* The steps of the proof:

- (1)  $\mathbb{E}$  irreducible unitary, then  $\mathcal{E}$  is stable of slop 0.  
 Trick of this step: if  $\mathcal{F} \subset \mathcal{E}$ , then consider  $\det \mathcal{F} \subset \bigwedge^{rk(\mathcal{F})} \mathcal{E}$  which is the exterior power of a local system (then you work a little bit more...)
- (2) fully faithful: has already been stated.
- (3) essentially surjective?  
 $\mathbb{E}$  unitary, then  $\mathcal{E}$  is polystable and  $\mathcal{E}$  stable  $\Leftrightarrow \mathbb{E}$  irreducible. I.e. we have a cartesian diagram:

$$\begin{array}{ccc}
 \text{Hom}(\pi_1, U(n)) & \xrightarrow{\psi} & \mathcal{M}^{ss}(n, 0) \\
 \uparrow & & \uparrow \\
 \emptyset \neq \text{Irr}(\pi_1, U(n)) & \xrightarrow[\psi_0]{} & \mathcal{M}^s(n, 0)
 \end{array}$$

Facts: diagram is cartesian and  $\psi_0$  is submersion of smooth manifolds (deformation theory).  
 $\Rightarrow$ : the statement. Indeed  $\text{Hom}(\pi_1, U(n))$  is compact, and  $\psi_0$  is proper and thus the image of  $\psi_0$  is closed (as  $\psi_0$  is a submersion). Besides,  $\mathcal{M}^s(n, 0)$  is irreducible, so the image of  $\psi_0$  is the total space.

5. HIGGS BUNDLES

**Definition 5.1.** A Higgs bundle on  $X$  is  $(\mathcal{E}, \theta)$  with  $\mathcal{E}$  vect bundle  $\theta : \mathcal{E} \xrightarrow{\theta} \mathcal{E} \otimes \Omega_X$  which is  $\mathcal{O}_X$  linear.

We say that a Higgs bundle is stable if for all sub-Higgs bundle, then  $\mu(\mathcal{F}) < \mu(\mathcal{E})$  (and polystability is also defined as earlier).

**Theorem 5.2.**  $\exists$  an equivalence of categories: { local systems }  $\rightarrow$  { to polystable Higgs bundles of slope 0 }.

It is compatible with the above equivalence of categories if we map { unitary local sytems }  $\rightarrow$  { polystable vect bundles of slope 0 } by  $\mathcal{E} \rightarrow (\mathcal{E}, 0)$ .

Why is this called non-abelian Hodge theory? a possible explanation:

- $\mathbb{G}_a$ - Hodge theroy:  $H^1(X, \mathbb{C}) = H^1(X, \mathcal{O}_X) \times H^0(X, \Omega_X^1)$
- $\mathbb{G}_m$ - Hodge theroy:  $H^1(X, \mathbb{C}^*) = \text{Pic}^0(X) \times H^0(X, \Omega_X^1)$
- $GL_n$ - Hodge theroy:  $H^1(X, GL_n) \simeq$  polystable ... NAHT.

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